

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Josef Bock et al.

Examiner: Sara W. Crane

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Serial No.: 10/521,106

Group Art Unit: 2811

Filed: September 13, 2005

Docket No.: 1435.121.101/12307US **JAN 11 2007**

Title: BIPOLAR TRANSISTOR

CERTIFICATE OF TRANSMISSION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the following paper(s) are being facsimile transmitted to the U.S. Patent and Trademark Office, Fax. No. (571) 273-8300 on the date shown below:

1. Transmittal Sheet containing Certificate of Mailing (1 pg.);
2. Response to Notice of Non-Compliant Amendment under 37 C.F.R. 1.121 (2 pgs.);
3. Copy of the Notice of Non-Compliant Amendment (37 C.F.R. 1.121) (2 pgs.);
4. Petition for One-Month Extension of Time (1 pg.); and
5. Amendment and Response under 37 C.F.R. 1.116 (3 pgs).

Date: January 11, 2007

PPK: cmj

By: 

Name: Paul P. Kempf (Reg. No. 39,727)

10 Pages (including cover page)

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Docket No.: I435.121.101/12307US

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**RECEIVED
CENTRAL FAX CENTER****JAN 11 2007****Mail Stop Amendment**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet containing Certificate of Mailing under 37 C.F.R. 1.8
- ☒ Amendment and Response under 37 C.F.R. 1.116 3 pgs.
- ☒ Petition for a One-Month Extension of Time 1 pg.
- ☐ The Commissioner is authorized to charge \$0.00 to Deposit Account No. 500471 to cover any additional claims fees
- ☒ The Commissioner is authorized to charge \$120.00 to Deposit Account No. 500471 to cover the Extension of Time fees
- ☒ Other: Certificate of Transmission (1 pg.); Resp. to Non-Compliant Amendment (2 pgs.); Notice of Non-Compliant Amend. (2 pgs.).
- ☐ Other Fees: The Commissioner is authorized to charge \$0.00 to Deposit Account No. 500471 to cover _____
- ☐ Return Postcard

Claims as Amended					
	Claims Remaining After Amendment	Highest Number Previously Paid For	Extra	Rate	Fee
TOTAL CLAIMS	11	24	0	X \$50.00	\$0.00
INDEPENDENT CLAIMS	3	4	0	X \$200.00	\$0.00
MULTIPLE CLAIMS PRESENTED	No				\$0.00
TOTAL					\$0.00

Customer No. 025281

By 

Name: Paul P. Kempf (Reg. No. 39,727)

Please consider this a Petition for Extension of Time for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of this application, please change any additional fees or credit overpayment to Deposit Account No. 500471.

CERTIFICATE OF FACSIMILE TRANSMISSION:

Date of Transmission: January 11, 2007

The undersigned hereby certifies that this paper or papers, as described herein, are being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. (571) 273-8300.


 Name: Paul P. Kempf



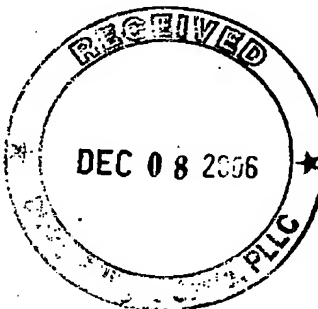
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 Alexandria, Virginia 22313-1450
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/521,106	09/13/2005	Josef Bock	1435.121.101/12307US	6366

25281 7590 12/05/2006

DICKE, BILLIG & CZAJA, P.L.L.C.
 FIFTH STREET TOWERS
 100 SOUTH FIFTH STREET, SUITE 2250
 MINNEAPOLIS, MN 55402



EXAMINER

ART UNIT PAPER NUMBER

DATE MAILED: 12/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

SECTION Jan. 5, 2007
 Date _____
 OA _____ Final _____
 Advisory Action _____
 Msg. Pts. _____ Corr. Paper _____
 Issue/Publ. Fee _____ Drwgs. _____
 Appeal _____
 her non-comp. Amend.

Notice of Non-Compliant Amendment (37 CFR 1.121)

Application No.

10/521/06

Applicant(s)

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Examiner

CRANE, SARA

Art Unit

2811

JAN 11 2007

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 11/22/06 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
 - ☐ B. New paragraph(s) should not be underlined.
 - ☐ C. Other _____.
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
 - ☐ B. Other _____.
- ☐ 3. Amendments to the drawings:
- ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
 - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
 - ☒ C. Other _____.
- ☒ 4. Amendments to the claims:
- ☐ A. A complete listing of all of the claims is not present.
 - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
 - ☒ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
 - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
 - ☐ E. Other: _____.
- ☐ 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

- Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment, an amendment filed after allowance, or a drawing submission (only). If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
- Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action.

Failure to timely respond to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable

Telephone No.

U.S. Patent and Trademark Office

Part of Paper No.